



**Shared Assessments Summit 2016**  
*The Changing Dynamic of Third Party Risk Management*

Wednesday, May 18, 2016 - Thursday, May 19, 2016  
 Renaissance Baltimore Harborplace – Baltimore, MD

<p><i>Day 1: Wednesday, May 18, 2016</i>  <b>Registration opens at 7:00 am</b></p>		
<p><b>7:00 am – 8:00 am Breakfast Buffet Sponsored by: LockPath</b></p>		
<b>8:00 am</b>	<p><b>Welcome and Opening Remarks:</b></p>	<p><b>Catherine A. Allen, Chairman and CEO</b>  <i>The Santa Fe Group</i></p>
<b>8:30 am</b>	<p><i>Keynote Address</i>  <b>Global Big Data: Privacy and Information Security Challenges</b></p>	<p><b>Caleb Whitmore, Founder, Chairman &amp; Principal Consultant</b>  <i>Analytics Pros</i></p>
<b>9:00 am</b>	<p><i>Keynote Panel</i>  <b>Big Data’s Impact on Third Party Risk Management</b></p> <ul style="list-style-type: none"> <li>• <i>Does the type of data being collected add additional privacy and security risks?</i></li> <li>• <i>Will emerging ways we collect data, including voice add additional privacy and security risk?</i></li> <li>• <i>Leveraging Big Data for dynamic risk management.</i></li> <li>• <i>Status and implications of US – EU Safe Harbor framework.</i></li> <li>• <i>Drone data: revolutionizing the way we see the world and see privacy.</i></li> <li>• <i>Regulations in the world of Big Data – What exists and what is needed?</i></li> <li>• <i>What are the benefits of Big Data?</i></li> </ul>	<p><b>MODERATOR</b>  <b>Linnea Solem, Chief Privacy Officer, Vice President Risk and Compliance</b>  <i>Deluxe Corporation</i></p> <p><b>PANELISTS</b>  <b>Caleb Whitmore, Founder, Chairman &amp; Principal Consultant</b>  <i>Analytics Pros</i></p> <p><b>E. Kelly Fitzsimmons, Co-Founder</b>  <i>Hypervoice Consortium and Managing Director of Custom Reality Services</i></p>
<b>10:15 am</b>	<p><b>Exhibitor Networking Break Sponsored by: LockPath</b></p>	
<b>10:45 am</b>	<p><b>The Role of the Board in Third Party Risk Management and Corporate Governance</b></p> <ul style="list-style-type: none"> <li>• <i>Tone at the top.</i></li> <li>• <i>Effective methods of communicating relevant risk information to the board.</i></li> <li>• <i>Point-in-time assessments are not enough: the challenges with continuous ongoing monitoring.</i></li> <li>• <i>Organization structure and governance challenges.</i></li> </ul>	<p><b>MODERATOR</b>  <b>Catherine A. Allen, Chairman and CEO</b>  <i>The Santa Fe Group</i></p> <p><b>PANELISTS</b>  <b>Susan Ann Davis, Chairman</b>  <i>Susan Davis Communications International</i></p> <p><b>Linda Tuck Chapman, President</b>  <i>ONTALA Performance Solutions</i></p> <p><b>Matt Moog, Senior Manager, Advisory Services</b>  <i>E&amp;Y</i></p> <p><b>Susan Keating, President and CEO</b>  <i>National Foundation of Credit Counseling (NFCC)</i></p>

<p><b>12:00 pm</b></p>	<p><b>Luncheon Case Study Sponsored By: Churchill &amp; Harriman</b></p> <p><i>Best Practices in Managing an Enterprise Risk Management Project</i></p>	<p><b>Rod Turk, Director Office of Cyber Security and Chief Information Security Officer</b> <i>U.S. Department of Commerce</i></p> <p><b>Kenneth J. Peterson</b> <i>Shared Assessments Program Steering Committee Member and Advisory Board Member</i></p>
<p><b>1:00 pm</b></p>	<p><b>Exhibitor Networking Break Sponsored by: LockPath</b></p>	
<p><b>1:30 pm</b></p>	<p><b>Cloud Security Alliance (CSA) - Update on Cloud Security</b></p> <p><b>Revolutionary Evolution: The Cloud, MIST and the Internet of Everything</b></p>	<p><b>Jerry L. Archer, Senior Vice President and Chief Security Officer</b> <i>Sallie Mae (CSA Founding Board Member)</i></p>
<p><b>2:00 pm</b></p>	<p><b>Building Risk-Resilience in Today's Changing Threat Environment</b></p>	<p><b>MODERATOR</b> <b>Tom Garrubba, Senior Director</b> <i>The Santa Fe Group</i></p> <p><b>PANELISTS</b> <b>John Beattie, Principal Consultant</b> <i>Sungard Availability Services</i></p> <p><b>Gary Bruner, Director, Information Technology and Information Security</b> <i>El Paso Electric Company</i></p> <p><b>Anita Statman, Retired Homeland Security Executive</b></p>
<p><b>2:45 pm</b></p>	<p><b>Exhibitor Networking Break Sponsored by: LockPath</b></p>	
<p><b>3:15 pm</b></p>	<p><b>Third Party Risk Management: Best Practices in Implementation Planning</b> <i>Partnering with your third parties for success.</i></p>	<p><b>MODERATOR</b> <b>Brenda Ferraro, Global Information Security Director</b> <i>Aetna, Inc.</i></p> <p><b>PANELISTS</b> <b>Kenneth J. Peterson, Founder and CEO</b> <i>Churchill &amp; Harriman</i></p> <p><b>Susan Kaufman, Principal Security Program Manager</b> <i>Veracode</i></p> <p><b>Bennett Morrison, Vice President Product Management</b> <i>SecurityScorecard</i></p>
<p><b>4:15 pm</b></p>	<p><b>The 2016/2017 Global Roadmap for the Shared Assessments Program</b> <i>A discussion on the Shared Assessments Program current activities for third party risk management education, best practices and global standards.</i></p>	<p><b>MODERATOR</b> <b>Seth Bailey, Director, Info Security</b> <i>Iron Mountain</i></p> <p><b>PANELISTS: Santa Fe Group Staff</b> <b>Vicki Dean, Senior Vice President Member Relations</b></p> <p><b>Charlie Miller, Senior Vice President</b> <b>Gary Roboff, Senior Advisor</b></p>
<p><b>5:00 – 6:30 pm</b></p>	<p><b>Networking Reception Sponsored by: Fortress Information Security</b></p>	

Day 2: Thursday, May 19, 2016

**8:00 am – 9:00 am Breakfast Buffet Sponsored by: SecurityScorecard**

<b>9:00 am</b>	<p><b>The Tension Between Security and Privacy in a Healthy Democracy.</b> A conversation with Catherine A. Allen and National Security Expert and former career covert CIA operations officer, Valerie Plame Wilson.</p>	<p><b>Valerie Plame Wilson</b> Former United States CIA Operations Officer and Author</p>
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<b>9:45am</b>	<p><b>Third Party and Risk Surveys: Lessons Learned</b></p> <ul style="list-style-type: none"> <li>• Shared Assessments Program Third Party Risk Management Study by the Ponemon Institute.</li> <li>• Shared Assessments and Protiviti's Vendor Risk Management Benchmark Study.</li> </ul>	<p><b>CO-MODERATORS</b></p> <p><b>Charlie Miller, Senior Vice President</b> The Santa Fe Group</p> <p><b>Gary Roboff, Senior Advisor</b> The Santa Fe Group</p> <p><b>PANELIST</b></p> <p><b>Dr. Larry Ponemon, Chairman &amp; Founder</b> Ponemon Institute</p> <p><b>Rocco Grillo, Executive Managing Director</b> Stroz Friedberg, LLC. Shared Assessments Steering Committee</p>
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**10:30 am Exhibitor Networking Break Sponsored by: SecurityScorecard**

<b>11:00 a.m.</b>	<p><b>Risk Management Considerations: IT Risk, Software Security, Third Party Risk, and Operational Risk</b> The session will discuss how internal risk management areas collaborate and work together to address the unique risks for specific risk disciplines.</p> <p><b>SESSION CLOSED TO MEDIA</b></p>	<p><b>MODERATOR</b></p> <p><b>Bob Wilkinson, CEO and Founder</b> Cyber Marathon Solutions</p> <p><b>PANELISTS</b></p> <p><b>Dan Desko, Senior Manager, IT Audit &amp; Risk Advisory</b> Schneider Downs</p> <p><b>Suzanne Hartin, Vice President, Operational Risk, Third Party Risk Management, Resiliency, Crisis Response</b> Capital One</p> <p><b>Greg Hamilton, Senior Vice President and U.S. Head of Vendor Risk</b> Santander Bank</p>
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<b>12:15 pm</b>	<p><b>Luncheon Case Study sponsored by: Prevalent, Inc.</b></p> <p><b>Case Study and Interview with Bob Maley, PayPal, Inc.</b></p>	<p><b>Jonathan Dambrot, CEO and Co-Founder</b> Prevalent, Inc.</p> <p><b>Bob Maley, Global Inspections Manager</b> PayPal, Inc.</p>
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<b>1:15 pm</b>	<p><b>Panel Discussion with the Regulators: Insights from the Regulators - Effective Third Party Risk Strategies</b></p> <ul style="list-style-type: none"> <li>• Challenges with regulatory policy setters vs. field practitioners.</li> <li>• Key standards, regulations and guidelines for Third Party Risk: What satisfies the regulators, Senior Management, Board of Directors and your clients?</li> </ul> <p><b>SESSION CLOSED TO MEDIA</b></p> <p><b>NO SOCIAL MEDIA POSTING</b></p>	<p><b>MODERATOR</b></p> <p><b>Gloria C. Banks, Chief Compliance Officer</b> Synovus Financial</p> <p><b>PANELISTS</b></p> <p><b>Nicole Clement, Critical Infrastructure Officer</b> Office of the Comptroller of the Currency (OCC)</p> <p><b>Donald Saxinger, Senior Examination Specialist Technology Supervision Branch</b> FDIC</p> <p><b>Jake Olcott, Vice President Business Development</b></p>
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		<i>bitsight technologies</i>
<b>2:45 pm</b>	<b>Networking Break Sponsored by: SecurityScorecard</b>	
<b>3:15 pm</b>	<p><b>Global Challenges for Third Party Risk Management</b>  <i>This panel will discuss current trends and complexities of outsourcing critical services in a global environment.</i></p>	<p><b>MODERATOR</b>  <b>Lin Lu, Managing Director, Regional Chief Information Security Officer Americas</b>  <i>Deutsche Bank</i></p> <p><b>PANELISTS</b>  <b>Wes Shattler, Risk Director</b>  <i>FIS Global</i></p> <p><b>Martin Freeman, Information Security Manager</b>  <i>Dealogic</i>  <i>Shared Assessments Steering Committee</i></p> <p><b>French Caldwell, Chief Evangelist</b>  <i>MetricStream</i></p> <p><b>Bob Maley, Global Inspections Manager</b>  <i>PayPal. Inc.</i></p> <p><b>John Bree, Managing Director</b>  <i>Deutsche Bank</i></p>
<b>4:00 pm</b>	<b>Closing Remarks</b>	<b>Catherine A. Allen, Chairman and CEO</b> <i>The Santa Fe Group</i>